

Problem of the Week Archive

Summer 2025



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Week 4

Problem

Our next problem comes from Penn State Math 403, the upper-level undergraduate real analysis course. We have selected a problem which does not require advanced theorems developed in the course. Yet, its solution is challenging, as it requires a carefully constructed bijection.

Prove that $(0, 1)$ and $[0, 1]$ have the same cardinality.

Solution

By definition, two sets A and B have the same cardinality if there exists a bijection $f|A \rightarrow B$. Thus it will suffice to find a bijection from $[0, 1]$ to $(0, 1)$.

To get a handle on how to deal with the endpoints when constructing the bijection, a related problem is considered first.

Show that the sets $\mathbb{N} = \{1, 2, \dots\}$ and $\mathbb{N}_2 := \{2, 3, \dots\}$ have the same cardinality. Define $g|\mathbb{N} \rightarrow \mathbb{N}_2$ by $g(n) = n + 1$. It is straight forward to verify that g has inverse $g^{-1}(n) = n - 1$. Thus, since a function is invertible if and only if it is a bijection, g is a bijection. More generally if $A = \{a_k | k \in \mathbb{N}\}$ $A_2 = \{a_k | k \in \mathbb{N}_2\}$, then $g|A \rightarrow A_2$ defined by $g(a_n) = a_{n+1}$ is a bijection. Hence A and A_2 have the same cardinality.

To put this to use in the current problem, consider the sets

$$A = \left\{ a_k \left| a_1 = 0, a_k = \frac{1}{k+1}, k \geq 2 \right. \right\} = \left\{ 0, \frac{1}{3}, \frac{1}{4}, \dots \right\} \subset [0, 1],$$

and

$$B = \left\{ b_k \left| b_1 = 1, b_k = 1 - \frac{1}{k+1}, k \geq 2 \right. \right\} = \left\{ 1, \frac{2}{3}, \frac{3}{4}, \dots \right\} \subset [0, 1];$$

and the corresponding sets

$$A_2 = \left\{ a_k \left| a_k = \frac{1}{k+1}, k \geq 2 \right. \right\} = \left\{ \frac{1}{3}, \frac{1}{4}, \dots \right\} \subset (0, 1) \subset [0, 1],$$

and

$$B_2 = \left\{ b_k \left| b_k = 1 - \frac{1}{k+1}, k \geq 2 \right. \right\} = \left\{ \frac{2}{3}, \frac{3}{4}, \dots \right\} \subset (0, 1) \subset [0, 1].$$

The sets A, B , and $C := [0, 1] / (A \cup B)$ form a partition of $[0, 1]$; and the sets A_2, B_2 , and $C = [0, 1] / (A \cup B) = (0, 1) / (A_2 \cup B_2)$ form a partition of $(0, 1)$. This allows for a bijection to be defined piecewise. Define $f|_A : A \rightarrow A_2$ by $f|_A(a_n) = a_{n+1}$, $f|_B : B \rightarrow B_2$ by $f|_B(b_n) = b_{n+1}$, and $f|_C : C \rightarrow C$ by $f|_C(x) = x$. Finally, define $f|_{[0, 1]} : [0, 1] \rightarrow (0, 1)$ by

$$f(x) = \begin{cases} f|_A(x) & \text{for } x \in A \\ f|_B(x) & \text{for } x \in B \\ f|_C(x) & \text{for } x \in C. \end{cases} \quad (1)$$

As noted earlier, $f|_A$ and $f|_B$ are invertible, while $f|_C$ is the identity map on C , so it too is invertible. Consequently f is invertible, with

$$f^{-1}(x) = \begin{cases} f|_A^{-1}(x) & \text{for } x \in A_2 \\ f|_B^{-1}(x) & \text{for } x \in B_2 \\ f|_C^{-1}(x) & \text{for } x \in C. \end{cases} \quad (2)$$

Therefore f is a bijection. Hence it has been shown that $[0, 1]$ and $(0, 1)$ have the same cardinality.

Although the problem has been solved at this point, the it would be nice to have an explicit form for f . To this end, note that

$$f(x) = \begin{cases} \frac{1+x}{3} & \text{for } x \in \{0, 1\} \\ \frac{x}{x+1} & \text{for } x \in A_2 \\ \frac{1}{2-x} & \text{for } x \in B_2 \\ x & \text{for } x \in C. \end{cases} \quad (3)$$

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